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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/965751	
		Filing Date	09-28-2001	
		First Named Inventor	MYERSON	
		Art Unit	1654	
		Examiner Name	Russel, J.E.	
Total Number of Pages in This Submission		Attorney Docket Number		14690.006US

ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.32 or 1.53		<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): _____			
Remarks		An excess Claims fee of \$252.00 (14 additional total claims and 3 additional independent claims) for a small entity is being submitted. This response is being filed prior to the deadline of 11 October 2003 and no extension of time is necessary.	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name	Laurence P. Colton TECHNOPROP COLTON LLC		
Signature			
Date	19 September 2003		

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Typed or printed name	Laurence P. Colton	Date	19 September 2003
Signature			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): MYERSON

Docket No.

14690.006US

Serial No.
09/965751Filing Date
09/28/2001Examiner
Russel, J.E.Group Art Unit
1654

Invention: POLARIZATION SWITCHING TO CONTROL CRYSTAL FORM

SEP 23 2003

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

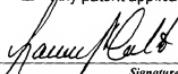
- Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- A verified statement to establish Small Entity status under 37 CFR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	59 -	45 =	14	x \$9.00	\$126.00
INDEP. CLAIMS	6 -	3 =	3	x \$42.00	\$126.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$252.00

- No additional fee is required for amendment.
- Please charge Deposit Account No. _____ in the amount of _____.
- A check in the amount of _____ to cover the filing fee is enclosed.
- The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.
- Any additional filing fees required under 37 C.F.R. 1.16.
- Any patent application processing fees under 37 CFR 1.17.



Signature

Dated: 19 September 2003

Laurence P. Colton, Reg. No. 33,371
 TECHNOPROP COLTON LLC, Cust. No. 022870
 PO Box 567685
 Atlanta GA 31156-7685 US

Tel: 770.522.9762
 Fax: 770.522.9763
 Email: technoprop@technoprop.com

CC:

I certify that this document and fee is being deposited on September 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Signature of Person Mailing Correspondence

Laurence P. Colton
 Typed or Printed Name of Person Mailing Correspondence



Patent
Customer No.: 022870
Docket No.: 14690.006USB

UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT OPERATIONS

Applicant: Myerson et al.

Art Unit: 1654

Application No.: 09/965751

Examiner: Russel, J.

Filing Date: 28 September 2001

Title: Polarization Switching To Control
Crystal Form

RESPONSE AND AMENDMENT

Box Response - Fee
Commissioner of Patents
PO Box 1450
Alexandria VA 22313-1450

19 September 2003
Atlanta GA 31156-7685

Sir:

Office Action dated 11 July 2003 from examiner Jeffrey E. Russel of Art Unit 1654 has been received. The following is submitted in response.

09/26/2003 YPOLITE1 00000019 09965751

01 FC:2202	126.00 QP
02 FC:2201	126.00 QP

I certify that I have deposited this document with the United States Postal Service as First Class Mail with sufficient postage in an envelope addressed to: Box Response - Fee, Commissioner of Patents, PO Box 1450, Alexandria VA 22313-1450, this 19th day of September, 2003.


Laurence F. Colton



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PTO/SB/17 (5-03)

Approved for use through 04/30/2003. OMB Control No. 1015-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ **\$252.00**)

Complete if Known

Application Number	09/965751
Filing Date	09/18/2001
First Named Inventor	MYERSON
Examiner Name	Russet, J.E.
Group Art Unit	1654
Attorney Docket No.	14690.006US

METHOD OF PAYMENT (check all that apply)

Check Credit card Mone Other None

Deposit Account:

Deposit

Account

Number

Deposit

Account

Name

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
 to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051	65 Surcharge - late filing fee or oath	<input type="checkbox"/>
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet	<input type="checkbox"/>
1053	130	1053	130 Non - English specification	<input type="checkbox"/>
1812	2,520	1812	2,520 For filing a request for ex parte reexamination	<input type="checkbox"/>
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action	<input type="checkbox"/>
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action	<input type="checkbox"/>
1251	110	2251	55 Extension for reply within first month	<input type="checkbox"/>
1252	410	2252	205 Extension for reply within second month	<input type="checkbox"/>
1253	930	2253	465 Extension for reply within third month	<input type="checkbox"/>
1254	1,450	2254	725 Extension for reply within fourth month	<input type="checkbox"/>
1255	1,970	2255	985 Extension for reply within fifth month	<input type="checkbox"/>
1401	320	2401	160 Notice of Appeal	<input type="checkbox"/>
1402	320	2402	160 Filing a brief in support of an appeal	<input type="checkbox"/>
1403	280	2403	140 Request for oral hearing	<input type="checkbox"/>
1451	1,510	1451	1,510 Petition to institute a public use proceeding	<input type="checkbox"/>
1452	110	2452	55 Petition to revive - unavoidable	<input type="checkbox"/>
1453	1,300	2453	650 Petition to revive - unintentional	<input type="checkbox"/>
1501	1,300	2501	650 Utility issue fee (or reissue)	<input type="checkbox"/>
1502	470	2502	235 Design issue fee	<input type="checkbox"/>
1503	630	2503	315 Plant issue fee	<input type="checkbox"/>
1460	130	1460	130 Petitions to the Commissioner	<input type="checkbox"/>
1807	50	1807	50 Processing fee under 37 CFR § 1.17(q)	<input type="checkbox"/>
1806	180	1806	180 Submission of Information Disclosure Statement	<input type="checkbox"/>
8021	40	8021	40 Recording each patent assignment per property (times number of properties)	<input type="checkbox"/>
1809	750	2809	375 Filing a submission after final rejection (37 CFR § 1.129(g))	<input type="checkbox"/>
1810	750	2810	375 For each additional invention to be examined (37 CFR § 1.129(l))	<input type="checkbox"/>
1801	750	2801	375 Request for Continued Examination (RCE)	<input type="checkbox"/>
1802	900	1802	900 Request for expedited examination of a design application	<input type="checkbox"/>

Other fee (specify) _____

FEES CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001	750	2001 375 Utility filing fee	<input type="checkbox"/> \$0.00
1002	330	2002 165 Design filing	<input type="checkbox"/> \$0.00
1003	520	2003 260 Plant filing fee	<input type="checkbox"/> \$0.00
1004	750	2004 375 Reissue filing	<input type="checkbox"/> \$0.00
1005	160	2005 80 Provisional filing fee	<input type="checkbox"/> \$0.00
		SUBTOTAL (1)	(\$ 0.00)

2. EXTRA CLAIM FEES FOR UTILITY AND DESIGN ISSUES

Extra Claims		Fee from below	Fee Paid
Total Claims	14	9.00	<input type="checkbox"/> \$126.00
Independent	6	3.00	<input type="checkbox"/> \$126.00
Multiple Dependent			<input type="checkbox"/> \$0.00

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1202	18	2202 9 Claims in excess of 20	<input type="checkbox"/>
1201	84	2201 42 Independent claims in excess of 3	<input type="checkbox"/>
1203	280	2203 140 Multiple dependent claim, if not paid	<input type="checkbox"/>
1204	84	2204 42 ** Reissue independent claims over original patent	<input type="checkbox"/>
1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent	<input type="checkbox"/>
		SUBTOTAL (2)	(\$ 252.00)

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$ 50.00)**

SUBMITTED BY

Name	Laurence P. Colton	Registration No. (Attorney/Agent)	33,371	Telephone	770.522.9762
Signature				Date	19 September 2003

Complete (if applicable)

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